

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * ACCEL LONDON III LP	2. Issuer Name <b>and</b> Ticker or Trading Symbol ForgeRock, Inc. [FORG]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)
(Last) (First) (Middle) 500 UNIVERSITY AVENUE	3. Date of Earliest Transaction (Month/Day/Year) 09/20/2021	
(Street) PALO ALTO, CA 94301	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person
(City) (State) (Zip)	<b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>	

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock <a href="#">(1)</a>	09/20/2021		C		12,466,364	A	\$ 0 <a href="#">(1)</a> <a href="#">(2)</a> <a href="#">(3)</a> <a href="#">(4)</a> <a href="#">(5)</a> <a href="#">(6)</a>	12,800,725	D <a href="#">(7)</a>	
Common Stock <a href="#">(1)</a>	09/20/2021		C		283,036	A	\$ 0 <a href="#">(1)</a> <a href="#">(2)</a> <a href="#">(3)</a> <a href="#">(4)</a> <a href="#">(5)</a> <a href="#">(6)</a>	290,627	I	By: Accel London 2012 L.P. <a href="#">(8)</a>
Common Stock <a href="#">(1)</a>	09/20/2021		C		224,037	A	\$ 0 <a href="#">(1)</a> <a href="#">(5)</a> <a href="#">(6)</a>	229,511	I	By: Accel Growth Fund Investors 2016 L.L.C. <a href="#">(9)</a>
Common Stock <a href="#">(1)</a>	09/20/2021		C		4,684,048	A	\$ 0 <a href="#">(1)</a> <a href="#">(5)</a> <a href="#">(6)</a>	4,798,496	I	By: Accel Growth Fund IV L.P. <a href="#">(10)</a>
Common Stock <a href="#">(1)</a>	09/20/2021		C		26,647	A	\$ 0 <a href="#">(1)</a> <a href="#">(5)</a> <a href="#">(6)</a>	27,298	I	By: Accel Growth Fund IV Strategic Partners L.P. <a href="#">(10)</a>
Common Stock <a href="#">(1)</a>	09/20/2021		J <a href="#">(1)</a>		12,800,725	D	\$ 0 <a href="#">(1)</a> <a href="#">(2)</a> <a href="#">(3)</a> <a href="#">(4)</a> <a href="#">(5)</a> <a href="#">(6)</a>	0	D <a href="#">(7)</a>	
Common Stock <a href="#">(1)</a>	09/20/2021		J <a href="#">(1)</a>		290,627	D	\$ 0 <a href="#">(1)</a> <a href="#">(2)</a> <a href="#">(3)</a> <a href="#">(4)</a> <a href="#">(5)</a> <a href="#">(6)</a>	0	I	By: Accel London 2012 L.P. <a href="#">(8)</a>
Common Stock <a href="#">(1)</a>	09/20/2021		J <a href="#">(1)</a>		229,511	D	\$ 0 <a href="#">(1)</a> <a href="#">(5)</a> <a href="#">(6)</a>	0	I	By: Accel Growth Fund Investors 2016 L.L.C. <a href="#">(9)</a>
Common Stock <a href="#">(1)</a>	09/20/2021		J <a href="#">(1)</a>		4,798,496	D	\$ 0 <a href="#">(1)</a> <a href="#">(5)</a> <a href="#">(6)</a>	0	I	y: Accel Growth Fund IV L.P. <a href="#">(10)</a>
Common Stock <a href="#">(1)</a>	09/20/2021		J <a href="#">(1)</a>		27,298	D	\$ 0 <a href="#">(1)</a> <a href="#">(5)</a> <a href="#">(6)</a>	0	I	By: Accel Growth Fund IV Strategic Partners L.P. <a href="#">(10)</a>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Series A Preferred Stock	(2)	09/20/2021		C			6,518,668	(2)	(2)	Common Stock	6,518,668	\$ 0 (2)	0	D (7)	
Series A Preferred Stock	(2)	09/20/2021		C			148,000	(2)	(2)	Common Stock	148,000	\$ 0 (2)	0	I	By: Accel London 2012 L.P. (8)
Series B Preferred Stock	(3)	09/20/2021		C			2,202,266	(3)	(3)	Common Stock	2,202,266	\$ 0 (3)	0	D (7)	
Series B Preferred Stock	(3)	09/20/2021		C			50,000	(3)	(3)	Common Stock	50,000	\$ 0 (3)	0	I	By: Accel London 2012 L.P. (8)
Series C Preferred Stock	(4)	09/20/2021		C			1,551,780	(4)	(4)	Common Stock	1,551,780	\$ 0 (4)	0	D (7)	
Series C Preferred Stock	(4)	09/20/2021		C			35,232	(4)	(4)	Common Stock	35,232	\$ 0 (4)	0	I	By: Accel London 2012 L.P. (8)
Series D Preferred Stock	(5)	09/20/2021		C			1,686,771	(5)	(5)	Common Stock	1,686,771	\$ 0 (5)	0	D (7)	
Series D Preferred Stock	(5)	09/20/2021		C			38,296	(5)	(5)	Common Stock	38,296	\$ 0 (5)	0	I	By: Accel London 2012 L.P. (8)
Series D Preferred Stock	(5)	09/20/2021		C			195,795	(5)	(5)	Common Stock	195,795	\$ 0 (5)	0	I	By: Accel Growth Fund Investors 2016 L.L.C. (9)
Series D Preferred Stock	(5)	09/20/2021		C			4,093,585	(5)	(5)	Common Stock	4,093,585	\$ 0 (5)	0	I	By: Accel Growth Fund IV L.P. (10)
Series D Preferred Stock	(5)	09/20/2021		C			23,288	(5)	(5)	Common Stock	23,288	\$ 0 (5)	0	I	By: Accel Growth Fund IV Strategic Partners L.P. (10)
Series E Preferred Stock	(6)	09/20/2021		C			506,879	(6)	(6)	Common Stock	506,879	\$ 0 (6)	0	D (7)	
Series E Preferred Stock	(6)	09/20/2021		C			11,508	(6)	(6)	Common Stock	11,508	\$ 0 (6)	0	I	By: Accel London 2012 L.P. (8)

Series E Preferred Stock	(6)	09/20/2021		C		28,242	(6)	(6)	Common Stock	28,242	\$ 0 (6)	0	I	By: Accel Growth Fund Investors 2016 L.L.C. (9)
Series E Preferred Stock	(6)	09/20/2021		C		590,463	(6)	(6)	Common Stock	590,463	\$ 0 (6)	0	I	By: Accel Growth Fund IV L.P. (10)
Series E Preferred Stock	(6)	09/20/2021		C		3,359	(6)	(6)	Common Stock	3,359	\$ 0 (6)	0	I	By: Accel Growth Fund IV Strategic Partners L.P. (10)
Class B Common Stock	(1)	09/20/2021		J(1)		12,800,725	(1)	(1)	Class A Common Stock	12,800,725	\$ 0 (1)	12,800,725	D (7)	
Class B Common Stock	(1)	09/20/2021		J(1)		290,627	(1)	(1)	Class A Common Stock	290,627	\$ 0 (1)	290,627	I	By: Accel London 2012 L.P. (8)
Class B Common Stock	(1)	09/20/2021		J(1)		229,511	(1)	(1)	Class A Common Stock	229,511	\$ 0 (1)	229,511	I	By: Accel Growth Fund Investors 2016 L.L.C. (9)
Class B Common Stock	(1)	09/20/2021		J(1)		4,798,496	(1)	(1)	Class A Common Stock	4,798,496	\$ 0 (1)	4,798,496	I	By: Accel Growth Fund IV L.P. (10)
Class B Common Stock	(1)	09/20/2021		J(1)		27,298	(1)	(1)	Class A Common Stock	27,298	\$ 0 (1)	27,298	I	By: Accel Growth Fund IV Strategic Partners L.P. (10)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ACCEL LONDON III LP 500 UNIVERSITY AVENUE PALO ALTO, CA 94301		X		
Accel London III Associates L.P. 500 UNIVERSITY AVENUE PALO ALTO, CA 94301		X		
Accel London III Associates L.L.C. 500 UNIVERSITY AVENUE PALO ALTO, CA 94301		X		
Accel London Investors 2012 L.P. 500 UNIVERSITY AVENUE PALO ALTO, CA 94301		X		
Accel Growth Fund Investors 2016 L.L.C. 500 UNIVERSITY AVENUE PALO ALTO, CA 94301		X		

Accel Growth Fund IV L.P. 500 UNIVERSITY AVENUE PALO ALTO, CA 94301		X		
Accel Growth Fund IV Associates L.L.C. 500 UNIVERSITY AVENUE PALO ALTO, CA 94301		X		
Accel Growth Fund IV Strategic Partners L.P. 500 UNIVERSITY AVENUE PALO ALTO, CA 94301		X		

## Signatures

/s/ Tracy L. Sedlock, as Attorney in Fact for Accel London III L.P.		09/22/2021
<a href="#">Signature of Reporting Person</a>		Date
/s/ Tracy L. Sedlock, as Attorney in Fact for Accel London III Associates L.P.		09/22/2021
<a href="#">Signature of Reporting Person</a>		Date
/s/ Tracy L. Sedlock, as Attorney in Fact for Accel London III Associates L.L.C.		09/22/2021
<a href="#">Signature of Reporting Person</a>		Date
/s/ Tracy L. Sedlock, as Attorney in Fact for Accel London Investors 2012 L.P.		09/22/2021
<a href="#">Signature of Reporting Person</a>		Date
/s/ Tracy L. Sedlock, as Attorney in Fact for Accel Growth Fund Investors 2016 L.L.C.		09/22/2021
<a href="#">Signature of Reporting Person</a>		Date
/s/ Tracy L. Sedlock, as Attorney in Fact for Accel Growth Fund IV L.P.		09/22/2021
<a href="#">Signature of Reporting Person</a>		Date
/s/ Tracy L. Sedlock, as Attorney in Fact for Accel Growth Fund IV Associates L.L.C.		09/22/2021
<a href="#">Signature of Reporting Person</a>		Date
/s/ Tracy L. Sedlock, as Attorney in Fact for Accel Growth Fund IV Strategic Partners L.P.		09/22/2021
<a href="#">Signature of Reporting Person</a>		Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Pursuant to a reclassification exempt under Rule 16b-7 (the "Reclassification"), each share of ForgeRock, Inc.'s (the "Issuer") common stock, par value \$0.001 per share (the "Common Stock"), was automatically reclassified into one share of the Issuer's Class B common stock, par value \$0.001 per share (the "Class B Common Stock"), immediately prior to the closing of the initial public offering
- (1) (the "IPO") of the Issuer's Class A common stock, par value \$0.001 per share (the "Class A Common Stock"). Each share of Class B Common Stock is convertible at any time at the option of the holder into one share of Class A Common Stock and has no expiration date. Additionally, each share of Class B Common Stock will, subject to certain conditions and exceptions, convert automatically into one share of Class A Common Stock upon any transfer.
  - (2) Each share of Series A Preferred Stock automatically converted into Common Stock on a 1:1 basis immediately prior to the closing of the IPO and had no expiration date.
  - (3) Each share of Series B Preferred Stock automatically converted into Common Stock on a 1:1 basis immediately prior to the closing of the IPO and had no expiration date.
  - (4) Each share of Series C Preferred Stock automatically converted into Common Stock on a 1:1 basis immediately prior to the closing of the IPO and had no expiration date.
  - (5) Each share of Series D Preferred Stock automatically converted into Common Stock on a 1:1 basis immediately prior to the closing of the IPO and had no expiration date.
  - (6) Each share of Series E Preferred Stock automatically converted into Common Stock on a 1:1 basis immediately prior to the closing of the IPO and had no expiration date.
  - (7) Accel London III Associates L.L.C. is the general partner of Accel London III Associates L.P. which is the general partner of Accel London III L.P. and has the sole voting and investment power. Kevin Comolli, Bruce Golden, Hendrik Nelis, and Sonali de Rycker are the managers of Accel London III Associates L.L.C. and share voting and investment power over such shares. Such managers and the Reporting Persons hereunder disclaim beneficial ownership except to the extent of their pecuniary interest therein.
  - (8) Accel London III Associates L.L.C. is the general partner of Accel London Investors 2012 L.P. and has the sole voting and investment power. Kevin Comolli, Bruce Golden, Hendrik Nelis, and Sonali de Rycker are the managers of Accel London III Associates L.L.C. and share voting and investment power over such shares. Such managers and the Reporting Persons hereunder disclaim beneficial ownership except to the extent of their pecuniary interest therein.
  - (9) Andrew G. Braccia, Sameer K. Gandhi, Ping Li, Tracy L. Sedlock, Ryan J. Sweeney, and Richard P. Wong are the managing members of Accel Growth Fund Investors 2016 L.L.C. and share voting and investment power. Such managers and the Reporting Persons hereunder disclaim beneficial ownership except to the extent of their pecuniary interest therein.
  - (10) Accel Growth Fund IV Associates L.L.C. is the general partners of both Accel Growth Fund IV L.P. and Accel Growth Fund IV Strategic Partners L.P. and has sole voting and investment power. Andrew G. Braccia, Sameer K. Gandhi, Ping Li, Tracy L. Sedlock, Ryan J. Sweeney, and Richard P. Wong are the managing members of Accel Growth Fund IV Associates L.L.C. and share such powers. Such managers and the Reporting Persons hereunder disclaim beneficial ownership except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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