

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person Riverwood Capital Partners III (Parallel - A) L.P. <small>(Last) (First) (Middle)</small>		2. Issuer Name and Ticker or Trading Symbol ForgeRock, Inc. [FORG]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)	
70 WILLOW ROAD, SUITE 100, <small>(Street)</small>		3. Date of Earliest Transaction (Month/Day/Year) 12/10/2021			
MENLO PARK, CA 94025 <small>(City) (State) (Zip)</small>		4. If Amendment, Date Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/10/2021		C		687,030	A	(U)	687,030	I	See Footnote (2) (3)
Common Stock	12/10/2021		C		142,845	A	(U)	142,845	I	See Footnote (3) (4)
Common Stock	12/10/2021		C		238,074	A	(U)	238,074	I	See Footnote (3) (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Class B Common Stock	(U)	12/10/2021		C		687,030		(U)	(U)	Class A Common Stock	687,030	(U)	3,893,169	I	See Footnote (2) (3)
Class B Common Stock	(U)	12/10/2021		C		142,845		(U)	(U)	Class A Common Stock	142,845	(U)	809,453	I	See Footnote (3) (4)
Class B Common Stock	(U)	12/10/2021		C		238,074		(U)	(U)	Class A Common Stock	238,074	(U)	1,349,089	I	See Footnote (3) (5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Riverwood Capital Partners III (Parallel - A) L.P. 70 WILLOW ROAD, SUITE 100 MENLO PARK, CA 94025		X		
Riverwood Capital III L.P. 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652		X		
Riverwood Capital GP III Ltd. 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652		X		
RCP III AIV L.P. 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652		X		
Riverwood Capital Partners III (Parallel - B) L.P. 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652		X		
Parks Jeffrey T 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652	X			

Signatures

/s/ Jeffrey Parks, Director of Riverwood Capital GP III Ltd., general partner of Riverwood Capital III L.P. <small>Signature of Reporting Person</small>	02/14/2022 <small>Date</small>
/s/ Jeffrey Parks, Director of Riverwood Capital GP III Ltd., general partner of Riverwood Capital III L.P., general partner of Riverwood Capital Partners III (Parallel-A) L.P. <small>Signature of Reporting Person</small>	02/14/2022 <small>Date</small>
/s/ Jeffrey Parks, Director of Riverwood Capital GP III Ltd., general partner of Riverwood Capital III L.P., general partner of Riverwood Capital Partners III (Parallel-B) L.P. <small>Signature of Reporting Person</small>	02/14/2022 <small>Date</small>
/s/ Jeffrey Parks, Director of Riverwood Capital GP III Ltd., general partner of RCP III AIV L.P. <small>Signature of Reporting Person</small>	02/14/2022 <small>Date</small>
/s/ Jeffrey Parks, Director of Riverwood Capital GP III Ltd.	02/14/2022

Signature of Reporting Person

Date

/s/ Jeffrey Parks

Signature of Reporting Person

02/14/2022

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each share of Class B Common Stock is convertible at any time at the option of the holder into one share of the Class A Common Stock. Additionally, each share of Class B Common Stock will, subject to certain exceptions, convert automatically into one share of Class A Common Stock.
- (2) Shares are held by RCP III AIV L.P. Riverwood Capital III L.P. is the general partner of RCP III AIV L.P. Riverwood Capital GP III Ltd. is the general partner of Riverwood Capital III L.P. Consequently, Riverwood Capital III L.P. and Riverwood Capital GP III Ltd. may be deemed to be reporting persons.
- (3) Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein, except to the extent of such Reporting Person's pecuniary interest therein. The filing of this statement shall not be deemed to be an admission that, for purposes of Section 16 of the Securities Exchange Act of 1934, the Reporting Person is a reporting person.
- (4) Shares are held by Riverwood Capital Partners III (Parallel-A) L.P. Riverwood Capital III L.P. is the general partner of Riverwood Capital Partners III (Parallel-A) L.P. Riverwood Capital GP III Ltd. is the general partner of Riverwood Capital III L.P. Consequently, Riverwood Capital Partners III (Parallel-A) L.P., Riverwood Capital III L.P., and Riverwood Capital GP III Ltd. are deemed to be reporting persons.
- (5) Shares are held by Riverwood Capital Partners III (Parallel-B) L.P. Riverwood Capital III L.P. is the general partner of Riverwood Capital Partners III (Parallel-B) L.P. Riverwood Capital GP III Ltd. is the general partner of Riverwood Capital III L.P. Consequently, Riverwood Capital Partners III (Parallel-B) L.P., Riverwood Capital III L.P., and Riverwood Capital GP III Ltd. are deemed to be reporting persons.

Remarks:

Jeffrey T. Parks is a member of the board of director of the Issuer and a member of the investment committee and a shareholder of Riverwood Capital GP III Ltd. He disclaims beneficial ownership with respect to the shares beneficially owned by him.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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