

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person - Riverwood Capital Partners III (Parallel - A) L.P. (Last) (First) (Middle) 70 WILLOW ROAD, SUITE 100, (Street) MENLO PARK, CA 94025 (City) (State) (Zip)		2. Date of Event Requiring Statement (Month/Day/Year) 09/15/2021	3. Issuer Name and Ticker or Trading Symbol ForgeRock, Inc. [FORG]	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) _X_ Director _X_ 10% Owner Officer (give title below) Other (specify below)	5. If Amendment, Date Original Filed(Month/Day/Year) 09/15/2021	6. Individual or Joint Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person
Table I - Non-Derivative Securities Beneficially Owned						
1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Series E Preferred Stock (1)	(2)	(2)	Common Stock (1) (2)	3,334,873	\$ (2)	I	See Footnote (3) (4)
Series E Preferred Stock (1)	(2)	(2)	Common Stock (1) (2)	693,374	\$ (2)	I	See Footnote (4) (5)
Series E Preferred Stock (1)	(2)	(2)	Common Stock (1) (2)	1,155,624	\$ (2)	I	See Footnote (4) (6)
Series E-1 Preferred Stock (1)	(2)	(2)	Common Stock (1) (2)	1,245,326	\$ (2)	I	See Footnote (3) (4)
Series E-1 Preferred Stock (1)	(2)	(2)	Common Stock (1) (2)	258,924	\$ (2)	I	See Footnote (4) (5)
Series E-1 Preferred Stock (1)	(2)	(2)	Common Stock (1) (2)	431,539	\$ (2)	I	See Footnote (4) (6)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Riverwood Capital Partners III (Parallel - A) L.P. 70 WILLOW ROAD, SUITE 100 MENLO PARK, CA 94025	X	X		
Riverwood Capital III L.P. 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652	X	X		
Riverwood Capital GP III Ltd. 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652	X	X		
RCP III AIV L.P. 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652	X	X		
Riverwood Capital Partners III (Parallel - B) L.P. 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652	X	X		
Parks Jeffrey T 70 WILLOW ROAD SUITE 100 MENLO PARK, CA 94025-3652	X	X		

Signatures

/s/ Jeffrey T. Parks, Director of Riverwood Capital GP III Ltd., general partner of Riverwood Capital III L.P. <small>Signature of Reporting Person</small>	09/21/2021 <small>Date</small>
/s/ Jeffrey T. Parks, Director of Riverwood Capital GP III Ltd., general partner of Riverwood Capital III L.P., general partner of Riverwood Capital Partners III (Parallel-A) L.P. <small>Signature of Reporting Person</small>	09/21/2021 <small>Date</small>
/s/ Jeffrey T. Parks, Director of Riverwood Capital GP III Ltd., general partner of Riverwood Capital III L.P., general partner of Riverwood Capital Partners III (Parallel-B) L.P. <small>Signature of Reporting Person</small>	09/21/2021 <small>Date</small>
/s/ Jeffrey T. Parks, Director of Riverwood Capital GP III Ltd., general partner of RCP III AIV L.P. <small>Signature of Reporting Person</small>	09/21/2021 <small>Date</small>
/s/ Jeffrey T. Parks, Director of Riverwood Capital GP III Ltd. <small>Signature of Reporting Person</small>	09/21/2021 <small>Date</small>
/s/ Jeffrey T. Parks <small>Signature of Reporting Person</small>	09/21/2021 <small>Date</small>

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

All shares of Series E Preferred Stock, par value \$0.001 per share ("Series E Preferred Stock") and Series E-1 Preferred Stock, par value \$0.001 per share ("Series E-1 Preferred Stock"), of ForgeRock, Inc. (the "Issuer") will automatically be converted on a one-for-one basis to shares of (1) closing of the Issuer's initial public offering (the "IPO") of its Class A common stock, par value \$0.001 per share ("Class A Common Stock"), without payment of consideration. Immediately thereafter, but still prior to the closing of the IPO, all shares of Common Stock will be reclassified "Stock").

- (2) Each share of Class B Common Stock is convertible at any time at the option of the holder into one share of Class A Common Stock. Additionally, each share of Class B Common Stock will, subject to certain exceptions, convert automatically into one share of Class A Common Stock upon the date.
- (3) Shares are held by RCP III AIV L.P. Riverwood Capital III L.P. is the general partner of RCP III AIV L.P. Riverwood Capital GP III Ltd. is the general partner of Riverwood Capital III L.P. Consequently, Riverwood Capital III L.P. and Riverwood Capital GP III Ltd. may be deemed to be reporting persons.
- (4) Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein, except to the extent of such Reporting Person's pecuniary interest therein. The filing of this statement shall not be deemed to be an admission that, for purposes of Section 16 of the Securities Exchange Act of 1934, the Reporting Person is a reporting person.
- (5) Shares are held by Riverwood Capital Partners III (Parallel-A) L.P. Riverwood Capital III L.P. is the general partner of Riverwood Capital Partners III (Parallel-A) L.P. Riverwood Capital GP III Ltd. is the general partner of Riverwood Capital III L.P. Consequently, Riverwood Capital Partners III (Parallel-A) L.P. and Riverwood Capital GP III Ltd. may be deemed to be reporting persons.
- (6) Shares are held by Riverwood Capital Partners III (Parallel-B) L.P. Riverwood Capital III L.P. is the general partner of Riverwood Capital Partners III (Parallel-B) L.P. Riverwood Capital GP III Ltd. is the general partner of Riverwood Capital III L.P. Consequently, Riverwood Capital Partners III (Parallel-B) L.P. and Riverwood Capital GP III Ltd. may be deemed to be reporting persons. RCP III AIV L.P., Riverwood Capital Partners III L.P., Riverwood Capital GP III Ltd., Riverwood Capital Partners III (Parallel-A) L.P. and Riverwood Capital Partners III (Parallel-B) L.P. are referred to collectively herein as the "Riverwood Capital Entities".

Remarks:

Jeffrey T. Parks is a member of the board of director of the Issuer and a member of the investment committee and a shareholder of Riverwood Capital GP III Ltd. He disclaims beneficial ownership with respect to the shares beneficially owned by him.

This amendment is being filed solely to include Riverwood Capital III L.P., RCP III AIV L.P. and Riverwood Capital GP III Ltd. as reporting persons. No other changes to the substance of this form have been made.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.